



# Janus Rollover/Transfer Bonus Contract

PO Box 55932 • Boston, MA 02205-5932 • 800-379-7603

Complete this form to sign up for the Janus Rollover/Transfer Bonus Program. Once Janus receives the completed/signed form and eligible rollover/transfer assets, you will be enrolled into the program. Please be sure to complete sections 1 through 3 prior to sending the form to Janus.

**In a Hurry?**  
fax form to 877-319-3852

## 1. Tell us about yourself.

### Owner information

First Name	Middle Initial	Last Name
Social Security Number	Date of Birth	
Street Number	Street Name	Apartment Number
City	State	Zip Code
Phone Number (required)	Email Address (optional)	
Janus Retirement Account Number(s) (if available)		

## 2. Please read below.

### Terms and Conditions

This offer is subject to certain terms and conditions as set forth below and which may change without advance notice. By completing this Janus Rollover/Transfer Bonus Contract you agree that you are responsible for determining whether to open or rollover to a Janus IRA and for all investment decisions in your Janus IRA. Janus does not make any recommendations as to whether to open a Janus IRA or which Janus Fund(s) to invest in. A complete list of available Janus Funds, including prospectuses and other related materials, is available at [www.janus.com](http://www.janus.com). If you do not provide investment instructions, you will not receive the Janus Rollover/Transfer Bonus and/or Janus Contribution Match payments and your rollover/transfer assets will be invested in the Janus Government Money Market Fund. You may contact a Janus Direct Rollover Specialist at 800-379-7603 to provide instructions as to your investment choice(s) or to change your investment instructions at any time. You will receive the Janus Rollover/Transfer Bonus payment within approximately 30 business days after receipt of your IRA rollover/transfer funds in good order from a qualified account and a signed contract. Market volatility, volume and system availability may delay account access and/or the availability of the Janus Rollover/Transfer Bonus payment in your account.

### Janus Rollover/Transfer Bonus payment

To receive your Janus Rollover/Transfer Bonus payment, your account must be funded within 60 days of the account opening. The Rollover/Transfer Bonus payment amounts are listed below based on the amount of eligible money rolled over/transferred in. The Rollover/Transfer Bonus payment may not exceed \$2,500 per IRA owner.

Value of IRA Rollover/Transfer Amount	Janus Rollover/Transfer Bonus Payment
\$10,000 - \$49,999	\$100
\$50,000 - \$99,999	\$200
\$100,000 - \$249,999	\$300
\$250,000 - \$499,999	\$600
\$500,000- \$999,999	\$1,200
\$1,000,000 or more	\$2,500

## Janus Contribution Match payment(s)

To receive Janus Contribution Match payments, (i) your Janus IRA must be funded for 12 months following the receipt of your rollover or asset transfer in good order and (ii) you must provide instructions to Janus on how to invest these payments. Janus Contribution Match payments will commence at the end of the first quarter in 2018 following a 2018 participant contribution in an eligible Janus IRA. Janus will match a percentage of these contributions based on the aggregate value of eligible 2017 rollover/asset transfer amounts based on the schedule below. Janus will make Contribution Match payments to your Janus IRA account through the 2018 tax year, which means 2018 tax year contributions to your Janus IRA account up to April 15, 2019 will receive a Contribution Match payment subject to these terms and conditions.

Value of IRA Rollover/Transfer Amount	Janus Contribution Match Payment
\$10,000 - \$49,999	1%
\$50,000 - \$99,999	2%
\$100,000 - \$249,999	3%
\$250,000 - \$499,999	5%
\$500,000 or more	10%

Janus Contribution Match payments to your account are paid within 30 days after each quarter with the first payment commencing in 2018. Contributions in excess of IRS maximum allowable limits will not be matched.

### Restrictions apply

This offer is valid for each Janus IRA funded with a rollover or asset transfer of \$10,000 or more. The account value of the qualifying IRA Rollover account must remain equal to or greater than the value after the net deposit was made (minus any losses due to market volatility) for 12 months, or Janus may charge the account for the cost of the offer at its sole discretion. Please allow 3-5 business days for any rollover or cash deposits to post to your account.

Offer is available to U.S. residents only, is not transferable and is not valid with internal transfers, accounts already invested in Janus Funds, or with other offers. Any investment amounts you currently have in a Janus Fund do not qualify toward the Janus Rollover/Transfer Bonus. Only Janus IRAs that are funded with a rollover or asset transfer on or after December 1, 2016 through December 31, 2017 are eligible for this Program. Any Janus Rollover/Transfer Bonus payment made to your Janus IRA account may be forfeited upon Janus' sole discretion if you close, transfer, terminate or otherwise withdraw your Janus IRA funds, in whole or part, within 360 calendar days of Janus' receipt of such Janus IRA funds in good order. Consult with your tax advisor about the appropriate tax treatment for this offer and any tax implications associated with receipt of the Janus Rollover/Transfer Bonus payment before enrolling. Any related taxes are your responsibility. Recent IRS guidance may impact your ability to make more than one IRA-to-IRA rollover in a one-year period. Janus may decline requests to enroll in the offer at its discretion. The offer does not apply to accounts managed by independent investment advisors, certain tax-qualified retirement plans and accounts, or education savings accounts.

### A ROLLOVER OF RETIREMENT PLAN ASSETS TO AN IRA IS NOT YOUR ONLY OPTION.

The decision to open a Janus IRA account with Janus is an important one and Janus does not provide any advice or recommendations as to whether you should do so. Carefully consider all of your available options, which may include but not be limited to keeping your assets in your former employer's plan, rolling over assets to a new employer's plan, or taking a cash distribution (taxes and possible withdrawal penalties may apply). Prior to a decision, be sure to understand the benefits and limitations of your available options and consider factors such as differences in investment-related expenses, plan or account fees, available investment options, distribution options, legal and creditor protections, the availability of loan provisions, tax treatment, and other concerns specific to your individual circumstances. The Janus Rollover/Transfer Bonus and/or Janus Contribution Match payments should not be a determinative factor in your decision to open a new, or fund an existing, Janus IRA Account.

**YOU SHOULD CAREFULLY CONSIDER THE CHARGES, RISKS, EXPENSES AND INVESTMENT OBJECTIVES BEFORE INVESTING. FOR A PROSPECTUS OR, IF AVAILABLE, A SUMMARY PROSPECTUS CONTAINING THIS AND OTHER INFORMATION, PLEASE CONTACT A JANUS DIRECT ROLLOVER SPECIALIST AT 800-379-7603 OR DOWNLOAD THE DOCUMENT FROM JANUS.COM. READ IT CAREFULLY BEFORE YOU INVEST OR SEND MONEY.**

Janus Funds are advised and sponsored by Janus and any investments or deposits you make in your Janus IRA account, including the Janus Rollover/Transfer Bonus payment and Janus Contribution Match payment(s), will result in fees paid to Janus and/or its affiliated companies. Such fees include investment management fees and related expenses associated with an investment in a Janus Fund(s) and may reduce the overall value of your investment over time. Janus does not make any investment recommendations and does not select your investment choices and accordingly does not make any determinations as to the appropriateness of fees and expenses associated with your investments.

**Janus reserves the right to change the terms, restrict, or revoke this offer at any time without advance notice. This is not an offer or solicitation in any jurisdiction where we are not authorized to do business.**

Janus does not provide tax or legal advice and nothing in this document should be construed or relied upon as such. You are responsible for consulting with your own tax advisor or attorney related to such matters.

### **3. Please read and sign below.**

**By signing below, I agree:**

That the information provided is accurate. That I will continue to comply with the terms and conditions of the prospectus. Due to the important tax consequences associated with retirement plan distributions, I have been advised to consult with a tax professional.

**X**

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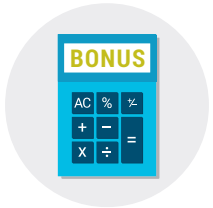
Signature of Account Owner or Parent/Guardian, if applicable.

Date

# Janus Rollover Program

## Bonus Plus Match

Consolidate eligible retirement accounts to Janus and receive up to \$2,500 toward your IRA and up to a 10% match on your 2018 IRA contributions.



### 01

## Determine Your Potential

Use the chart below to determine your rollover bonus and what percentage of IRA contributions Janus will match in 2018.

Rollover/Transfer Amount	Rollover Bonus to Janus IRA	% Match on IRA Contributions
\$10,000 - \$49,999	\$100	1%
\$50,000 - \$99,999	\$200	2%
\$100,000 - \$249,999	\$300	3%
\$250,000 - \$499,999	\$600	5%
\$500,000 - \$999,999	\$1,200	10%
\$1,000,000 or more	\$2,500	10%



### 02

## Submit Forms and Complete Rollover

Two easy ways to consolidate your eligible retirement accounts to Janus:

#### Option 1:

Contact a Janus Rollover Specialist at 800.379.7603

#### Option 2:

Download and submit the appropriate forms by visiting [janus.com/bonus](https://janus.com/bonus)



### 03

## Receive Your Bonus

After submitting the appropriate forms and completing the rollover, receive your bonus directly into your Janus IRA account. Then, in 2018 Janus will also match a percentage of your Janus IRA contributions.



JANUS®

To learn more, contact a Janus Rollover Specialist today at  
800.379.7603 or visit [janus.com/bonus](http://janus.com/bonus)

**A rollover of retirement plan assets to an IRA is not your only option.** The decision to open a Janus IRA account with Janus is an important one and Janus does not provide any advice or recommendations as to whether you should do so. Carefully consider all of your available options, which may include but not be limited to keeping your assets in your former employer's plan, rolling over assets to a new employer's plan, or taking a cash distribution (taxes and possible withdrawal penalties may apply). Prior to a decision, be sure to understand the benefits and limitations of your available options and consider factors such as differences in investment-related expenses, plan or account fees, available investment options, distribution options, legal and creditor protections, the availability of loan provisions, tax treatment, and other concerns specific to your individual circumstances. The Janus Rollover Incentive and/or Janus Contribution Match payments should not be a determinative factor in your decision as to whether to open a Janus IRA Account with Janus.

**FOR MORE INFORMATION CONTACT JANUS**

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151 Detroit Street, Denver, CO 80206 / 800.668.0434 / [www.janus.com](http://www.janus.com)

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